

# Phase Behavior of Poly(3-hexylthiophene-2,5-diyl)

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## ABSTRACT

The phase behavior of many conjugated polymers is rich with both crystalline and liquid crystalline phases. Recent computational efforts have identified the isotropic-to-nematic transition temperature for polymers such as poly(3-hexylthiophene-2,5-diyl) (P3HT). Herein, model predictions are combined with experimentally determined values of the equilibrium melting temperature as a function of chain length to provide the complete phase behavior for P3HT. Additionally, because a full description of the phase behavior requires proper accounting for the regioregularity of the chain, a thermodynamic relationship is derived to predict this behavior as a function of both chain length and regioregularity and the impact of regioregularity on the expected phase diagram is discussed.

The phase behavior of many conjugated polymers is rich but not yet fully explored. Stiff chain conformations and planar ring-like structures can promote both crystalline and liquid crystalline phases. For example, for poly(3-alkylthiophene-2,5-diyl)s, nematic phases have been predicted<sup>1,2</sup> and observed<sup>3,4</sup> in addition to crystalline phases<sup>5</sup>. The presence of a stable mobile liquid crystalline phase can have a great impact on device post processing for flexible and printed electronics. As a case in point, a stable liquid crystalline phase has been shown to enable “healing” of grain boundaries and correspondingly to improved field effect transistor charge mobility in semiconducting polymers after annealing in the mobile liquid crystalline phase below the isotropization temperature, i.e., clearing point.<sup>6,7</sup>

Recent computational efforts that rely on atomistic and field-theory simulations have identified the nematic coupling parameter for polymers such as poly(3-hexylthiophene-2,5-diyl) (P3HT) and as a consequence the isotropic-to-nematic transition temperature  $T_{IN}$  as a function of chain length  $n$  up to 1000 repeat units.<sup>2</sup> The simulation results were then fit to a Gibbs-Thomson functional form with the result being

$$T_{IN} = 535 \text{ K} \left(1 - \frac{1.64}{n}\right). \quad (1)$$

Combining these values with measurements of the crystal melting temperature then provides the complete phase behavior for P3HT, if the equilibrium melting temperature can be

extracted. A full description must account for the regioregularity of the chain, because regioregularity affects the crystal melting temperature although it is not expected to affect the isotropic to liquid crystal transition.

Recent work has demonstrated<sup>8</sup> that for monodisperse oligomeric 3-hexylthiophene and P3HT fractions a good fit to crystal size versus melting temperature can be achieved through a thermodynamic relationship previously validated<sup>9</sup> for paraffins and polyethylene fractions.

$$T_m = T_m^0 \frac{(n+a)}{(n+b)}, \quad (2)$$

where  $T_m^0$  is the equilibrium melting temperature of an infinitely thick crystal, and  $a$  and  $b$  are fitting constants that are approximately given by  $a \approx \Delta H_e/\Delta H_u$  and  $b \approx \Delta S_e/\Delta S_u$  with  $\Delta H_u$  and  $\Delta S_u$  the enthalpy and entropy of fusion per crystal repeat unit and  $\Delta H_e$  and  $\Delta S_e$  the enthalpic and entropic penalty due to the fold/end crystal-melt interfaces. For the Form I P3HT crystal (high molar mass form), values of  $T_m^0 = (545 \pm 6)$  K (272 °C),  $a = (-5.4 \pm 0.5)$ , and  $b = (-1.6 \pm 0.4)$  have been obtained.<sup>8</sup>

Figure 1 puts together the equilibrium melting temperatures with the predicted isotropic-to-nematic transition temperatures for P3HT as a function of molar mass. Denoting the equilibrium melting temperatures distinguishes Figure 1 in this work from Figure 10 of ref. 2. The Form II P3HT crystal, where the side chains interdigitate, becomes less stable<sup>10</sup> than the Form I crystal at approximate  $n > 14$ , so it has not been included in the phase diagram and only the Form I crystal melting line is shown. The phase diagram indicates that above about 20 kg/mol the nematic phase would not be observed because the crystalline phase is more thermodynamically stable; unfortunately, the error estimates associated with both  $T_{IN}$  and  $T_m$  from Eq. 2 argue against a definitive determination of whether there exists a value

of  $n$  above which the nematic phase will not be observed.

Nevertheless, the excellent agreement between the values obtained from Eq. 2 and the values of Ho, et al.<sup>3</sup> suggest that the fit values of  $T_m$  are close to the true thermodynamic values. This observation leveraged against the fact that Ho, et al.<sup>3</sup> also observed a nematic phase for  $n = 73$  (not plotted for the melting temperature data due to the fact that this chain length is above the onset of chain folding), as well as the fact that to the best of our knowledge a nematic phase has not been reported for high molar mass, high (>98 %) regioregularity P3HT,<sup>7</sup> strongly suggests that the  $T_{IN}$  values are close to their thermodynamic equilibrium values as well. Furthermore, Ho, et al.<sup>3</sup> observed a nematic phase for all their low molecular weight P3HT fractions above the crystal melting temperatures; unfortunately, the nematic phase was not stable due to thermal degradation at elevated temperatures and so  $T_{IN}$  could not be determined for these materials. Alternatively, if the  $T_{IN}$  values were close to the upper bounds on the error estimates, then the temperature window between  $T_m$  and  $T_{IN}$  would be wide enough to observe the nematic phase in high molar mass, high regioregularity P3HT.

The phase diagram shown in Figure 1 was obtained for 100 % regioregular P3HT, but most available P3HT batches possess lower regioregularity. As such, we first consider the effects of regiodefects on the presence of an isotropic-nematic transition as a function of relative molar mass. The persistence length for P3HT is mostly a function of the backbone deflection angles, because the energetic differences between torsional angles are near  $kT$  and barriers in the torsional potentials are relatively small, circa a few  $kT$  (where  $k$  is Boltzmann's constant and  $T$  is ambient temperature). As a consequence, the persistence length of P3HT can be accurately represented within 10 % using the freely-rotating chain model,<sup>2</sup> suggesting a minimal

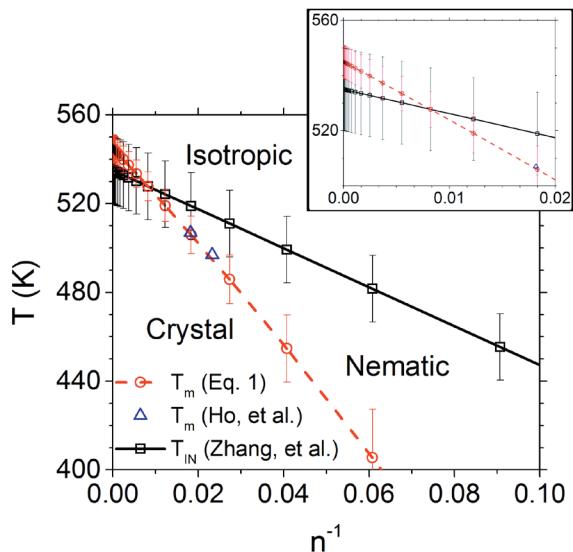


FIGURE 1 (color online) P3HT phase diagram in terms of temperature  $T$  and number of repeat units  $n$  showing phase boundaries and stable thermodynamic states. The isotropic-nematic phase boundary was derived from Eq. 1. The Form I crystal melting data and line are from Eq. 2 with the parameters from Ref. 8. The error bars for  $T_{IN}$  were taken from Ref. 2 and the error bars for  $T_m$  were obtained from the uncertainties in the fit parameters to Eq. 2. Triangles correspond to data from Ref. 3; the point for  $n=73$  has been excluded because it is above the onset<sup>8</sup> of chain folding. The inset shows an expanded view of the crossover region of the plot.

effect of regioregularity. Furthermore, fitting the data of McCulloch et al.,<sup>11</sup> with different functional forms suggests that the difference in persistence length between a 93 % and 98 % regioregular P3HT is quite small, i.e.,  $\approx 2.3$  nm versus  $\approx 2.9$  nm, respectively, and hence it can be anticipated<sup>2</sup> that  $T_{IN}$  will be nearly the same for both regioregularities.

It has been shown, however, that regiodesfects are excluded from P3HT crystals thereby reducing the equilibrium melting temperature.<sup>12</sup> To examine this effect we derive a thermodynamic relationship that takes into

account both relative molar mass as well as the presence of regiodesfects. Equation 2 in its simplest interpretation is derived by assuming that the enthalpy  $\Delta H_f$  and entropy of fusion  $\Delta S_f$  are linear functions of  $n$ , i.e.,

$$\Delta H_f(n) = n\Delta H_u + \Delta H_e \quad (3)$$

$$\Delta S_f(n) = n\Delta S_u + \Delta S_e \quad (4)$$

Broadhurst<sup>9</sup> explored the temperature dependence of these relationships; nevertheless, because the simple form of Eq. 2 fits the data well this temperature dependence will be ignored. We note also that Flory and Vrij<sup>13</sup> derived a relationship for the entropy of fusion that included a logarithmic term as well; however, the resulting equation for the melting temperature seemed to only fit data up to lengths of  $n \approx 100$ , so we will employ the simpler more tractable form of Eq. 2 that reproduced the equilibrium melting temperature of polyethylene.

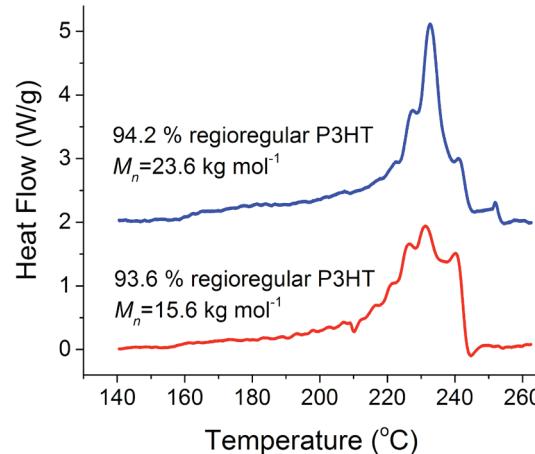


FIGURE 2 (color online) Typical differential scanning calorimetry (DSC) traces for 93.6 % and 94.2 % regioregular P3HT fractions obtained via successive self-nucleation and annealing (SSA).

Our derivation loosely follows an approach by Sanchez and Eby;<sup>14</sup> however, we assume complete exclusion of the regiodesfects and

obtain a form similar to Eq. 2 rather than a modification of the Gibbs-Thomson equation. As mentioned earlier, one justification for assuming complete exclusion of head-head regiodeserts in P3HT is derived from previous work<sup>12</sup> showing the excellent agreement between the results derived from Flory's copolymer theory<sup>15</sup> that assumes complete exclusion and experimental calorimetric data. Additional justification via successive self-nucleation and annealing (SSA) is provided in Fig. 2. SSA is a thermal analysis technique that creates "bins" of crystallizable sequence lengths. The binning is evidenced by a distribution of discrete peaks in the DSC trace, each of which corresponds to a certain range of crystallizable sequence lengths. It has been shown elsewhere<sup>8</sup> that for 100 % regioregular fractions with  $M_n$  greater than approximately 11.5 kg mol<sup>-1</sup> chain folding occurs and hence the resulting DSC traces from SSA lack the distinct peaks and rather appear like typical DSC melting traces. As both fractions are above the chain folding limit, the presence of the distinct peaks indicates that at least a large fraction of the regiodeserts are being excluded from the crystal. Thus, because of the agreement with Flory's copolymer theory and the SSA results, we use the full-exclusion assumption for P3HT.

The free energy difference  $\Delta G$  between an infinitely thick crystal and an equivalent crystal containing a mole fraction ( $1-p$ ) of monomers (or defects) that will be excluded from the crystal is given by

$$\Delta G = \Delta G^0 + RT \ln p \quad (5)$$

where  $\Delta G^0 = \Delta H_u - T\Delta S_u$ . For P3HT,  $p$  is the regioregularity (mole fraction of head-tail couplings determined from <sup>1</sup>H nuclear magnetic resonance (NMR) spectroscopy). If  $\Delta G$  is set equal to zero, the equilibrium melting temperature of a crystal with ( $1-p$ ) non-crystallizable segments is obtained. For a finite size crystal, melting occurs when the bulk crystal free energy  $\Delta G$  is equal to the (negative) surface free energy  $\Delta G_e$ , or

$$\Delta G + \frac{\Delta G_e}{n} = 0 \quad (6)$$

Combining Eq. 5 and 6 yields

$$0 = \Delta H_u - T_m \Delta S_u + RT_m \ln p + \frac{(\Delta H_e - T_m \Delta S_e)}{n} \quad (7)$$

This equation can then be rearranged to

$$T_m = \left( \frac{\Delta H_u}{\Delta S_u} \right) \left( \frac{n + \frac{\Delta H_e}{\Delta H_u}}{n + \frac{\Delta S_e}{\Delta S_u} - \frac{nR \ln p}{\Delta S_u}} \right) \quad (8)$$

recognizing that  $\Delta S_u$  can be written as  $\Delta S_u = \Delta H_u/T_m$ <sup>0</sup> the final result is obtained

$$T_m = T_m^0 \left( \frac{n + a}{n + b - \frac{nRT_m^0 \ln p}{\Delta H_u}} \right) \quad (9)$$

where, as in the Eq. 2,  $a \approx \Delta H_e/\Delta H_u$  and  $b \approx \Delta S_e/\Delta S_u$ . Therefore, the  $a$  and  $b$  parameters obtained from the 100 % regioregular P3HT can be applied to the regiodesert samples via the above equation. In the limit of  $n \rightarrow \infty$ , Eq. 9 returns Flory's equation for melting point depression as a function of  $p$ .

To demonstrate the validity of this new relationship, we compare the model predictions with melting temperature data obtained on samples of varying regioregularity. In Table 1, we show data from the literature<sup>12</sup> on slow-cooled samples of P3HT combined with the SSA results from Fig. 2. The end melting temperature  $T_{m,end}$ , i.e., return to baseline when the last crystals have melted, is used for comparison rather than peak maximum as suggested by Crist<sup>16</sup> because it is only the last crystals that melt into a melt with the proper

composition of regioregular and regiodefective segments. There is good agreement between the observed and calculated melting temperatures, and differences are well within the estimated uncertainty of the calculated values.

TABLE 1 Molecular characteristics and observed and calculated ( $T_{m,calc}$  using Eq. 9) melting temperatures for slow cooled regiodefective P3HT samples

$p$	$M_n$ (kg/mol)	$M_w$ (kg/mol)	$n_{3\sigma,corr}^b$	$T_{m,end}$ (°C)	$T_{m,calc}^c$ (°C)	Ref <sup>a</sup>
0.936	15.6	31.3	145	$\approx 241$	$245 \pm 7^d$	Fig 2
0.937	55.0	120.0	1085	$\approx 247$	$251 \pm 7$	12 <sup>a</sup>
0.942	23.6	54.2	482	$\approx 253$	$250 \pm 7$	Fig 2
0.956	51.6	138.4	1167	$\approx 252$	$257 \pm 7$	12 <sup>a</sup>

<sup>a</sup> Values for regioregularity  $p$ , number averaged molar mass  $M_n$ , mass averaged molar mass  $M_w$ , and  $T_{m,end}$  for indicated samples are taken from Ref. 12. <sup>b</sup>  $n_{3\sigma,corr}$  is the maximum degree of polymerization defined as a  $3\sigma$  deviation above  $M_n$  (Gaussian distribution assumed) determined from<sup>17</sup>  $\sigma = M_n(M_w/M_n - 1)^{1/2}$  and dividing by a factor of 1.3 to correct for the overestimate<sup>18</sup> inherent in gel permeation chromatography of P3HT. <sup>c</sup> Error estimates are the best estimate of 1 standard deviation based on propagation of parameter uncertainties.

The effect of regioregularity on the crystal melting temperature as a function of molar mass is shown in Figure 3. It is apparent that increasing the regiodeflect content increases the temperature range in which the nematic phase will be stable. This helps to reconcile some of the confusion in the literature over the presence of a nematic phase. Whereas, high molar mass, highly regioregular P3HT did not display clear nematic phases, high molar mass, lower regioregular samples seemed to strongly indicate their presence. For example, Hugger, et al.,<sup>19</sup> on a sample with a reported relative molar mass of  $\approx 87$  kg/mol and a regioregularity of  $\approx 93\%$ , argued based on X-ray diffraction data for the presence of a liquid crystalline phase at 523 K, consistent with the predictions of Fig. 3.

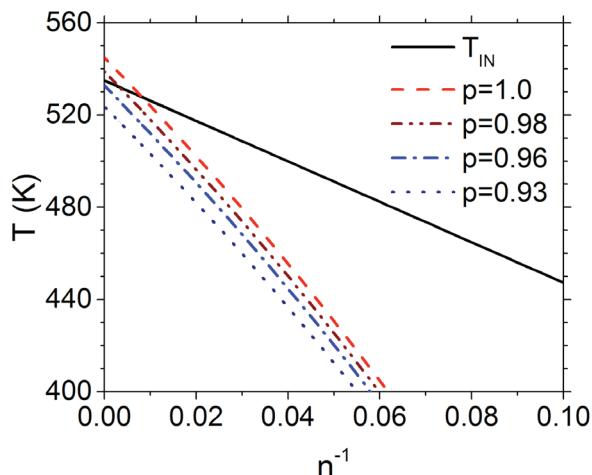


FIGURE 3 (color online) Effect of regioregularity on the thermodynamic stability of the nematic-to-isotropic transition in P3HT. The solid line is the theoretical prediction of the nematic-to-isotropic transition, the broken lines correspond to the predicted equilibrium crystal melting lines as a function of chain length  $n$  and mole fraction of regioregular couplings  $p$  determined from Eq. 9 using the values for the  $a$  and  $b$  parameters employed in Fig. 1. (Error estimates would be similar to that of Fig. 1.)

In closing, we point out that this framework shows the promise of generalization to most semiconducting homopolymers and copolymers exhibiting both liquid crystalline and crystalline phases by combining the tools developed for determining the nematic coupling parameter<sup>2</sup> and the thermodynamic framework discussed in this work. Additionally, a similar analysis could be performed for predicting<sup>20</sup> the presence of lyotropic liquid crystalline phases that might be encountered upon solution processing due to the melting point depression caused by the presence of a solvent at appropriate concentrations as has been proposed for P3HT.<sup>4</sup>

## EXPERIMENTAL

Fractions of regioregular P3HT were obtained from EMD Chemicals<sup>21</sup> with molecular characteristics provided by the manufacturer and cited in Table 1. Differential scanning calorimetry (DSC) was performed under a helium purge in a Perkin Elmer DSC8500 equipped with a liquid nitrogen chiller. Temperature calibration was achieved with indium and lead. Measurements were performed in aluminum foil packets of  $\approx 1.9$  mg mass, with sample masses of  $\approx 0.85$  mg and  $\approx 0.24$  mg for the 93.6 % and 94.2 % regioregular fractions, respectively. SSA measurements were performed as described elsewhere<sup>8</sup> to yield a maximized distribution of crystallizable sequences. Measurements (not shown) were performed to determine the initial optimal self-seeding temperature  $T_s$ .<sup>22</sup> Much like polyethylene, due to P3HT's high nucleation density, it appears that self-seeding is not that influential, so the temperature at which the annealing peak first disappeared was chosen, which was 230 °C for both fractions; the upper melting temperature  $T_m'$  was 265 °C. The thermal analysis profile is given in Figure 4.

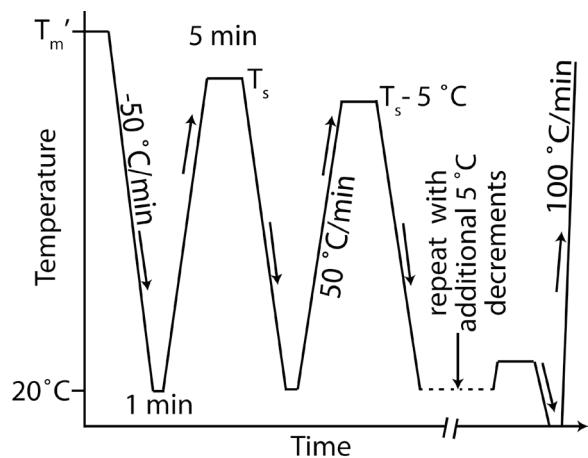


FIGURE 4 Thermal profile for successive self-nucleation and annealing (SSA) process. As indicated in the figure all heating and cooling was performed at 50 °C/min excluding the final heating trace at 100 °C/min that was used to generate the data in Figure 2.

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